

<b>Meeting:</b>	<b>Audit and governance committee</b>
<b>Meeting date:</b>	<b>Wednesday 29 November 2017</b>
<b>Title of report:</b>	<b>Corporate risk register</b>
<b>Report by:</b>	<b>Directorate services team leader</b>

## **Classification**

Open

## **Decision type**

This is not an executive decision

## **Wards affected**

(All Wards);

## **Purpose and summary**

To consider the status of the council's corporate risk register in order to monitor the effectiveness of the performance, risk and opportunity management framework. Since the risk register was last reported to committee, three new risks have been added and two removed.

## **Recommendation(s)**

**That:**

- (a) The committee determine any recommendations it wishes to make to improve effective risk management.**

## **Alternative options**

1. The committee could choose not to monitor the risk register; this would not be recommended as this would not provide assurance that risk was being managed effectively within the council.

## **Key considerations**

2. The corporate risk register is compiled from risks identified at directorate level, which have been escalated in accordance with the performance, risk and opportunity management framework (PROM), along with high-level generic risks, which require strategic management. Entries within the corporate risk register reflect those risks identified by management board and endorsed by cabinet, thereby strengthening their strategic perspective, management response and controls.
3. The inclusion of risks within any level of risk register does not necessarily mean there is a problem; it reflects the fact that officers are aware of potential risks and have devised strategies for the implementation of mitigating controls.
4. Each entry within the register is scored to provide an assessment of the level of risk. All risks are scored based on an assessment of their impact and likelihood, adopting the scoring criteria within the PROM. These assessments are made at two points: before any actions are in place (inherent risk); and after identified controls are in place (residual risk).
5. Whatever level of residual risk remains, it is essential that the controls identified are appropriate, working effectively and kept under review.
6. Two risks have been removed from the corporate risk register since the register was last published as part of the end of May corporate budget and performance report. The risks have been deemed by the children's wellbeing directorate management team as either being risks that can now be managed within the directorate; or are no longer seen as a risk to the council and have therefore been removed completely. The latest risk register is at appendix A.
7. Three new risks have been added to the register at appendix A. These are:
  - CR037 – Cyber Attack
  - CR038 – Failure of Council employees to adhere to standing orders and policy
  - CR039 – Safeguarding work to support the service during police investigation
8. At its meeting of 4 July the committee asked to see how the issue of flood alleviation was being addressed at a service level. An extract from the service risk register is attached as appendix B.

## **Community impact**

9. In accordance with the principles of the council's adopted code of corporate governance, the council must ensure that it has an effective performance management system that facilitates effective and efficient delivery of planned services. Effective risk management is an important component of this performance management system.

## **Equality duty**

10. Under section 149 of the Equality Act 2010, the 'general duty' on public authorities is set out as follows:

A public authority must, in the exercise of its functions, have due regard to the need to -

- (a) eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by or under this Act;

- (b) advance equality of opportunity between persons who share a relevant protected characteristic and persons who do not share it;
- (c) foster good relations between persons who share a relevant protected characteristic and persons who do not share it.

11. There are no equality duty implications arising from this report.

### **Resource implications**

12. There are no financial implications associated with the recommendation.

### **Legal implications**

13. There are no legal implications associated with the recommendation.

### **Risk management**

14. There are no risks arising directly from the report. By reviewing the corporate risk register greater assurance is given that the council is managing its risks appropriately.

### **Consultees**

15. None.

### **Appendices**

Appendix A Corporate risk register

Appendix B Service level risk

### **Background papers**

None identified.